

THE ANALOGUE OF OVERLAP-FREENESS FOR THE FIBONACCI MORPHISM

JAMES D. CURRIE* AND NARAD RAMPERSAD

Abstract. A 4^- -power is a non-empty word of the form $XXXX^-$, where X^- is obtained from X by erasing the last letter. A binary word is called *faux-bonacci* if it contains no 4^- -powers, and no factor 11. We show that faux-bonacci words bear the same relationship to the Fibonacci morphism that overlap-free words bear to the Thue-Morse morphism. We prove the analogue of Fife's Theorem for faux-bonacci words, and characterize the lexicographically least and greatest infinite faux-bonacci words.

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1. INTRODUCTION

We study binary words, that is words over the alphabet $\mathcal{B} = \{0, 1\}$. We use lower case letters (*e.g.*, w) to denote finite words, and we use bold-face letters to denote words with letters indexed by \mathbb{N} ; *e.g.*,

$$\mathbf{w} = w_1 w_2 w_3 \cdots .$$

In the literature, words with letters indexed by \mathbb{N} are variously referred to as ω -words, infinite words, one-sided infinite words, *etc.* In this article we refer to them as ω -words. We freely use notions from combinatorics on words and from automata theory. Thus, for example, the set of finite words over \mathcal{B} is denoted by \mathcal{B}^* , and the set of ω -words is denoted by \mathcal{B}^ω . We record morphisms inline, *i.e.*, $g = [g(0), g(1)]$.

The binary overlap-free words constitute a classical object of study in combinatorics on words. They are particularly well understood because of their intimate connection to the Thue-Morse morphism $\mu = [01, 10]$. The following was proved by Thue [1].

Theorem 1.1. *Let w be binary word. Then w is overlap-free if and only if $\mu(w)$ is overlap-free.*

Thue [1] proved that, for two-sided infinite words and for circular words, every overlap-free binary word arises as the μ image of an overlap-free word. The analysis of finite words is more complicated, but these also arise *via* iterating μ . (See, *e.g.*, Restivo and Salemi [2]).

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Department of Mathematics & Statistics, The University of Winnipeg, Manitoba, Canada.

* Corresponding author: j.currie@uwinnipeg.ca

Theorem 1.2. *Let $w \in \mathcal{B}^*$ be overlap-free. Then we can write $w = a\mu(u)b$, where $a, b \in \{\epsilon, 0, 00, 1, 11\}$, and u is overlap-free. If $|w| \geq 7$ this factorization is unique. If $\mathbf{w} \in \mathcal{B}^\omega$ is overlap-free, then we can write $\mathbf{w} = a\mu(\mathbf{u})$, for some overlap-free word $\mathbf{u} \in \mathcal{B}^\omega$ where $a \in \{\epsilon, 0, 00, 1, 11\}$.*

Characterizations of binary overlap-free words in terms of μ have allowed sharp enumerations of these words [2–7]. These enumerations are closely connected to a classical result known as Fife’s Theorem [8].

Theorem 1.3 (Fife’s Theorem). *Let $\mathbf{u} \in \mathcal{B}^\omega$. Then \mathbf{u} is overlap-free if and only if*

$$\mathbf{u} = w \bullet \mathbf{f}$$

for some $w \in \{01, 001\}$, and some $\mathbf{f} \in \{\alpha, \beta, \gamma\}^\omega$ containing no factor in

$$I = (\alpha + \beta)(\gamma\gamma)^*(\beta\alpha + \gamma\beta + \alpha\gamma).$$

In this theorem, each of α , β , and γ is an operator discovered by Fife that maps a finite word ending in $\mu^n(0)$ or $\mu^n(1)$ to a finite word ending in $\mu^{n+1}(0)$ or $\mu^{n+1}(1)$. The \bullet operator is then defined recursively by

$$\begin{aligned} w \bullet \epsilon &= w \\ w \bullet (\mathbf{f}\alpha) &= \alpha(w \bullet \mathbf{f}) \\ w \bullet (\mathbf{f}\beta) &= \beta(w \bullet \mathbf{f}) \\ w \bullet (\mathbf{f}\gamma) &= \gamma(w \bullet \mathbf{f}). \end{aligned}$$

The second author’s thesis [9] contains a modern exposition of Fife’s Theorem. The Thue-Morse sequence \mathbf{t} is a fixed point of μ , namely,

$$\mathbf{t} = \lim_{n \rightarrow \infty} \mu^n(0)$$

Due to Theorem 1.2, \mathbf{t} arises naturally in any study of overlap-free binary words. For example, Berstel [10] proved (see also Allouche *et al.* [11, 12]):

Theorem 1.4. *The lexicographically greatest overlap-free binary ω -word starting with 0 is \mathbf{t} .*

Our rich understanding of binary overlap-free words comes from the strong connection between these words and the Thue-Morse morphism. The thesis of the present article is that there exist analogous connections between other pairs of languages and morphisms. In a recent paper, the first author [13] showed such a connection between the period-doubling morphism $\delta = [01, 00]$ and *good words*. A binary word is *good* if it doesn’t contain factors 11 or 1001, and doesn’t encounter pattern 0000 or 00010100. He showed that:

- Good words factorize under δ ;
- Word $\delta(w)$ is good if and only if w is good;
- An analog of Fife’s Theorem holds for good ω -words;
- One can exhibit the lexicographically least and greatest good ω -words.

Unfortunately, one may object that the period-doubling morphism does not give a proper ‘new’ example of a language/morphism connection because of the close relationship of the period-doubling sequence \mathbf{d} to the Thue-Morse sequence \mathbf{t} ; it is well-known [14] that the period-doubling sequence can be obtained from the Thue-Morse sequence as follows: Let \mathbf{vtm} be given by

$$\mathbf{vtm} = g^{-1}(\mathbf{t}),$$

where g is the morphism on $\{0, 1, 2\}$ given by $g = [011, 01, 0]$. Then

$$\mathbf{d} = h(\mathbf{v}t\mathbf{m}),$$

where h is the morphism on $\{0, 1, 2\}$ given by $h = [0, 1, 0]$. For this reason, in this article we consider another morphism, not connected to μ in the same way.

Another famous binary sequence is the Fibonacci word, which is the fixed point

$$\phi = 0100101001001010010100100101001001 \dots$$

of the binary morphism φ , where $\varphi = [01, 0]$. We call φ the **Fibonacci morphism**. The word ϕ is central to the study of Sturmian words, and has a large literature. (See [15], for example).

For a non-empty word X , the word X^- is obtained from X by erasing its last letter. The word ^-X is obtained by erasing its first letter. We define a 4^- -**power** (said ‘‘four minus’’-power) to be a word of the form $XXXX^-$, some non-empty word X . Equivalently, a 4^- -power is a word of period p , length $4p - 1$ for some positive p . Extending periodically on the right or left with period p by a single letter gives a fourth power. Thus a 4^- -power $XXXX^-$ can also be written as ^-YYYY , where $Y = aXa^{-1}$ and a is the last letter of X .

A binary word is called *faux-bonacci* if it contains no factor 11 and no 4^- -power. For the remainder of this paper we abbreviate ‘faux-bonacci’ as ‘fb’. We will show that fb words bear the same relationship to the Fibonacci morphism that overlap-free words bear to the Thue-Morse morphism. We show that:

- The fb words factorize under φ (Thm. 2.3);
- Word $\varphi(w)$ is fb if and only if w is fb (Thm. 2.4);
- An analog of Fife’s Theorem holds for fb ω -words (Thm. 3.11);
- One can exhibit the lexicographically least and greatest fb ω -words (Thm. 4.5).

These results raise the question of which well-studied properties of the Thue-Morse word \mathbf{t} may generalize to the class of all morphic fixed points.

2. FAUX-BONACCI WORDS

Unless otherwise specified, our words and morphisms are over the binary alphabet $\mathcal{B} = \{0, 1\}$.

Lemma 2.1. *Let $\mathbf{u} \in \mathcal{B}^\omega$. Suppose $\varphi(\mathbf{u})$ is fb. Then \mathbf{u} is fb.*

Proof. We prove the contrapositive: Suppose \mathbf{u} is not fb; we prove that $\varphi(\mathbf{u})$ is not fb.

If 11 is a factor of \mathbf{u} , then one of $\varphi(110) = 0001$ and $\varphi(111) = 000$ is a factor of $\varphi(\mathbf{u})$. Each of these contains the 4^- -power 000.

Suppose u contains an 4^- -power $XXXX^-$ where $X = xa$ for some $a \in \mathcal{B}$. If $a = 1$, then $\varphi(u)$ contains $\varphi(x1x1x1x) = \varphi(x)0\varphi(x)0\varphi(x)0\varphi(x)$, which is an 4^- -power. If $a = 0$, then u contains one of $x0x0x0x0$ and $x0x0x0x1$. In either case, $\varphi(u)$ contains the 4^- -power $\varphi(x)01\varphi(x)01\varphi(x)01\varphi(x)0$. \square

Remark 2.2. Let $w = w_1w_2w_3 \dots w_n$ with $w_i \in \mathcal{B}$ and suppose $|w|_{11} = 0$. There is a unique word u such that $0w = \varphi(u)$ for some u . Let $\mathbf{w} \in \mathcal{B}^\omega$ and suppose $|\mathbf{w}|_{11} = 0$. Then we can write $\mathbf{w} = a\varphi(\mathbf{u})$, some $\mathbf{u} \in \mathcal{B}^\omega$ where $a \in \{\epsilon, 1\}$.

Theorem 2.3. *Let $\mathbf{w} \in \mathcal{B}^\omega$ be fb. Then we can write $\mathbf{w} = a\varphi(\mathbf{u})$, where \mathbf{u} is fb and where $a \in \{\epsilon, 1\}$.*

Proof. This is immediate from Lemma 2.1 and Remark 2.2. \square

Theorem 2.4. *Let $\mathbf{w} \in \mathcal{B}^\omega$. Then $\varphi(\mathbf{w})$ is fb if and only if \mathbf{w} is fb.*

Proof. The only if direction is Lemma 2.1. Suppose then that \mathbf{w} is fb. Certainly $\varphi(\mathbf{w})$ cannot contain 11 as a factor. Further, if 000 is a factor of $\varphi(\mathbf{w})$, then one of 110 and 111 is a factor of \mathbf{w} ; however, \mathbf{w} is fb, so this is impossible.

Suppose that $\varphi(\mathbf{w})$ has a factor $XXXx$ where $X = xa$, some $a \in \mathcal{B}$.

If $a = 1$ then $XX = x1x1$. Since 11 is not a factor of $\varphi(\mathbf{w})$, x must be non-empty and have first letter 0 . Write $X = \varphi(Y0)$. Then $XXXx = \varphi(Y0Y0Y0Y)0$, and \mathbf{w} contains the overlap $Y0Y0Y0Y$. This is a contradiction, since \mathbf{w} is fb.

Assume then that $a = 0$. If the first letter of X is 1 , then the factor $XXXx = x0x0x0x$ of $\varphi(\mathbf{w})$ must appear in the context $0x0x0x0x$. Word x cannot be empty, since 000 is not a factor of $\varphi(\mathbf{w})$. If the last letter of x is 1 , then replacing X by $0x$ reduces to the previous case. Suppose then that the last letter of x is 0 . Write $x = x'0$. Then $XXXx = x'00x'00x'00x'0$. Since 000 is not a factor of $\varphi(\mathbf{w})$, x' is non-empty and starts and ends with 1 . This implies that $\varphi(\mathbf{w})$ contains the factor $0XXXx' = 0x'00x'00x'00x'$. Write $0x'0 = \varphi(Y1)$. Then $0x'00x'00x'00x' = \varphi(Y1Y1Y1Y)$, and the 4^- -power $Y1Y1Y1Y$ is a factor of \mathbf{w} . This is impossible. \square

Corollary 2.5. *The Fibonacci word ϕ is fb.*

Lemma 2.6. *Suppose that $\mathbf{w} \in \mathcal{B}^\omega$ is fb. Then 10101 is not a factor of ${}^-\mathbf{w}$.*

Proof. Suppose 10101 is a factor of ${}^-\mathbf{w}$. Since 11 is not a factor of \mathbf{w} , extending 10101 to the left and right we find that 0101010 is a factor of \mathbf{w} . But 0101010 is a 4^- -power. \square

Lemma 2.7. *Suppose $0101\mathbf{w} \in \mathcal{B}^\omega$ is fb. Then $10101\mathbf{w}$ is fb.*

Proof. If $10101\mathbf{w}$ is not fb it must begin with a 4^- -power with some positive period p and length $4p - 1$. If $p \geq 5$, then 10101 is a factor of the fb word ${}^-0101\mathbf{w}$. This is impossible by Lemma 2.6, so that $p \leq 4$. Thus p is a period of 10101 , so that p is 2 or 4 . This forces 1010101 to be a prefix of $10101\mathbf{w}$, and again 10101 is a factor of the fb word ${}^-0101\mathbf{w}$. \square

3. AN ANALOGUE OF FIFE'S THEOREM

Let U be the set of infinite fb words. For $u \in \mathcal{B}^*$, let $U_u = U \cap u\mathcal{B}^\omega$.

Lemma 3.1. *Let $\mathbf{v} \in \mathcal{B}^\omega$. Then*

- (i) $\varphi(\mathbf{v}) \in U \iff \mathbf{v} \in U$;
- (ii) $1\varphi(\mathbf{v}) \in U \iff 0\mathbf{v} \in U \text{ or } \mathbf{v} \in U_{00}$.

Proof. Part (i) is Theorem 2.4. For part (ii), first suppose that $0\mathbf{v} \in U$ or $\mathbf{v} \in U_{00}$. If $0\mathbf{v} \in U$, then by Theorem 2.4 it follows that $01\varphi(\mathbf{v}) = \varphi(0\mathbf{v})$ is fb, so in particular $1\varphi(\mathbf{v})$ is fb; if $\mathbf{v} \in U_{00}$, then $\varphi(\mathbf{v})$ is fb by Theorem 2.4 and has prefix 0101 , so that $1\varphi(\mathbf{v})$ is fb by Lemma 2.7.

In the other direction, suppose that $1\varphi(\mathbf{v}) \in U$. To get a contradiction, suppose that $0\mathbf{v} \notin U$ and $\mathbf{v} \notin U_{00}$. Since $1\varphi(\mathbf{v}) \in U$, we must have $\varphi(\mathbf{v}) \in U$, so that $\mathbf{v} \in U$ by Theorem 2.4. From $0\mathbf{v} \notin U$ we deduce that a prefix of $0\mathbf{v}$ is not fb. Since 11 cannot be a prefix of $0\mathbf{v}$, we deduce that $0\mathbf{v}$ has a prefix of the form $XXXX^-$ for some non-empty word X . It follows that 0 is a prefix of X . Since $\mathbf{v} \notin U_{00}$, we conclude that $|X| \geq 2$. Since XX is a factor of the fb word \mathbf{v} , and XX has prefix $X0$, we conclude that 00 is not a suffix of X ; otherwise \mathbf{v} would have factor $000 = 0000^-$, which is impossible.

Let \mathbf{v} have prefix ${}^-XXXX^-a$, where $a \in \mathcal{B}$. Letter a cannot be the last letter of X , or \mathbf{v} would start with the 4^- -power ${}^-XXXX$. It follows that X^- is followed in ${}^-XXXX^-a$ variously by a and by the other letter of \mathcal{B} . This implies that the last letter of X^- is 0 , since 1 cannot be followed by 1 in \mathbf{v} . Since 00 is not a suffix of X , word X must end in 01 .

We cannot, however, have $X = 01$. In this case, word \mathbf{v} would have prefix ${}^-XXXX^-0 = 1010100$, causing $1\varphi(\mathbf{v})$ to have prefix 100100100101 , which begins with the 4^- -power 10010010010 . It follows that $|X| \geq 3$. Since X^- is sometimes followed by 0 in \mathbf{v} , word X^- cannot have suffix 00 , since 000 is not a factor of \mathbf{v} . As the last letter of X^- is 0 , this implies that X^- ends in 10 . Now X ends in 101 , and XX is a factor of \mathbf{v} . Thus Lemma 2.6 implies that X does not start 01 . It follows that X starts 00 . Write $X = 00Y101$ and $\mathbf{v} = {}^-XXXX^-0\mathbf{u}$. Then

$$1\varphi(\mathbf{v}) = 101\varphi(Y)00100101\varphi(Y)00100101\varphi(Y)00100101\varphi(Y)00101\varphi(\mathbf{u})$$

which begins with the 4^- -power $ZZZZ^-$ where $Z = 101\varphi(Y)00100$. This is a contradiction. \square

Consider the *finite Fibonacci words* F_n defined for non-negative integers n by

$$\begin{aligned} F_0 &= 0, \\ F_1 &= 01, \text{ and} \\ F_{n+2} &= F_{n+1}F_n \text{ for } n \geq 0. \end{aligned}$$

The following lemma is proved by induction.

Lemma 3.2. *Suppose that u is a binary word and p is a prefix of $\varphi(u)$. If p ends in F_{n+1} for some $n \geq 2$, then $p = \varphi(q)$, where q is a prefix of u ending in F_n .*

Remark 3.3. The condition $n \geq 2$ is necessary. If $u = 00$, then F_2 is a factor of $\varphi(u)$, but F_1 is not a factor of u .

We use the notation $\pi(w)$ for the *Parikh vector* of a binary word. Thus

$$\pi(w) = [|w|_0, |w|_1].$$

Suppose that w is a word of the form $y_n F_n$ where $\pi(y_n) \leq \pi(F_n) - \pi(0)$. In particular, F_n is the longest finite Fibonacci word which is a suffix of w . We define operations on w by

$$\begin{aligned} \alpha(w) &= y_n F_{n+1} \\ \beta(w) &= y_n F_{n-1} F_{n+1}. \end{aligned}$$

One checks that F_n is a prefix of $F_{n-1}F_{n+1}$, so that w is always a prefix of $\alpha(w)$ and $\beta(w)$. Because $\pi(y_n) \leq \pi(F_n) - \pi(0)$, we have that $\pi(y_n F_{n-1}) \leq \pi(F_n F_{n-1}) - \pi(0) = \pi(F_{n+1}) - \pi(0)$, so that we can iterate the maps α and β . Let $\mathcal{O} = \{\alpha, \beta\}$. We define operators $w \bullet f$ for $f \in \mathcal{O}^*$ by

$$\begin{aligned} w \bullet \epsilon &= w \\ w \bullet (f\alpha) &= \alpha(w \bullet f) \\ w \bullet (f\beta) &= \beta(w \bullet f). \end{aligned}$$

Example 3.4. Let $w = 0010$ and $f = \beta\alpha$. We write $w = y_2 F_2$ where $y_2 = 0$. Thus $\pi(y_2) = [1, 0] \leq [2, 1] - [1, 0] = \pi(F_2) - \pi(0)$. Then

$$\beta(w) = y_2 F_1 F_3 = 0(01)(01001) = y_3 F_3,$$

where $y_3 = 001$. As remarked earlier, $\pi(y_3) = [2, 1] \leq [3, 2] - [1, 0] = \pi(F_3) - \pi(0)$, so that $\alpha(\beta(w))$ is defined:

$$\alpha(\beta(w)) = y_3 F_4 = 001(01001010).$$

Thus

$$w \bullet \beta\alpha = \alpha(\beta(w)) = 00101001010.$$

Remark 3.5. If g is a prefix of f , then $w \bullet g$ will be a prefix of $w \bullet f$.

Lemma 3.6. *Let u be a fb ω -word, and for $n \geq 2$, let $w_n = y_n F_n$ be the shortest prefix of u ending in F_n . For $n \geq 2$, we have $\pi(y_n) \leq \pi(F_n) - \pi(0)$.*

Proof. Word w_2 is a fb word containing $F_2 = 010$ exactly once, as a suffix. The candidates are 010, 0010, 1010, and 10010, which would yield $y_2 = \epsilon, 0, 1$, and 10, respectively. Thus the result is true for $n = 2$.

Suppose the result has been found to be true for $n = k$, some $k \geq 2$. Write $\mathbf{u} = a\varphi(\mathbf{u}')$ where \mathbf{u}' is fb, and $a \in \{\epsilon, 1\}$. Since the first letter of F_{k+1} is 0 and $a \in \{\epsilon, 1\}$, any occurrence of F_{k+1} in \mathbf{u} starts in $\varphi(\mathbf{u}')$. By Lemma 3.2, any prefix qF_{k+1} of \mathbf{u} has the form $a\varphi(q'F_k)$ where $q'F_k$ is a prefix of \mathbf{u}' . Thus $w_{k+1} = a\varphi(w'_k)$ where $w'_k = y'_k F_k$ is the shortest prefix of \mathbf{u}' ending in F_k . By the induction hypothesis, $\pi(y'_k) \leq \pi(F_k) - \pi(0)$. It follows that

$$\begin{aligned} \pi(a\varphi(y'_k)) &\leq \pi(1) + \pi(\varphi(F_k)) - \pi(\varphi(0)) \\ &= \pi(1) + \pi(F_{k+1}) - \pi(01) \\ &= \pi(F_{k+1}) - \pi(0). \end{aligned} \quad \square$$

Remark 3.7. Again, the condition $n \geq 2$ is necessary. If $w_2 = 1001$, then $y_2 = 10$, and $\pi(y_2) \not\leq \pi(F_2) - \pi(0)$.

Lemma 3.8. *Let \mathbf{u} be a fb ω -word, and for $n \geq 2$, let $w_n = y_n F_n$ be the shortest prefix of \mathbf{u} ending in F_n . For $n \geq 2$, we have $w_{n+1} \in \{w_n \bullet \alpha, w_n \bullet \beta\}$.*

Proof. The only fb words starting with F_2 , and containing F_3 exactly once, as a suffix, are $01001 = 010 \bullet \alpha$ and $0101001 = 010 \bullet \beta$. Thus the result is true for $n = 2$.

Suppose the result has been found to be true for $n = k$, some $k \geq 2$. Write $\mathbf{u} = a\varphi(\mathbf{u}')$ where \mathbf{u}' is fb, and $a \in \{\epsilon, 1\}$. For each n , let $w'_n = y'_n F_n$ be the shortest prefix of \mathbf{u}' ending in F_n . By the induction hypothesis, w'_{k+1} is either $w'_k \bullet \alpha = y'_k F_{k+1}$ or $w'_k \bullet \beta = y'_k F_{k-1} F_{k+1}$. As in the previous proof, $w_{k+2} = a\varphi(w'_{k+1})$ and $y_{k+2} = a\varphi(y'_{k+1})$. Then

$$\begin{aligned} w_{k+2} &= a\varphi(w'_{k+1}) \\ &\in \{a\varphi(y'_k F_{k+1}), a\varphi(y'_k F_{k-1} F_{k+1})\} \\ &= \{y_{k+1} F_{k+2}, y_{k+1} F_k F_{k+2}\} \\ &= \{w_{k+1} \bullet \alpha, w_{k+1} \bullet \beta\}. \end{aligned} \quad \square$$

Corollary 3.9. *Let \mathbf{u} be a fb ω -word. There is an ω -word $\mathbf{f} = \prod_{n=2}^{\infty} f_n$, $f_n \in \mathcal{O}$, and a ‘seed’ word $w_2 \in \{010, 0010, 1010, 10010\}$, such that*

$$\mathbf{u} = \lim_{n \rightarrow \infty} w_2 \bullet (f_2 f_3 \cdots f_n).$$

Proof. Letting the w_n be as in the previous lemma, choose f_n such that $w_{n+1} = w_n \bullet f_n$. □

Suppose that w is a word of the form $y_n F_n$ where $\pi(y_n) \leq \pi(F_n) - \pi(0)$. We note that

$$\begin{aligned} w \bullet \alpha &= w F_n^{-1} F_{n+1} = w F_n^{-1} \varphi^{n-2}(F_2 \bullet \alpha), \\ w \bullet \beta &= w F_n^{-1} F_{n-1} F_{n+1} = w F_n^{-1} \varphi^{n-2}(F_2 \bullet \beta). \end{aligned}$$

If $f \in \mathcal{O}^k$, write $f = f_1 f_2 \cdots f_k$, where each $f_i \in \mathcal{O}$. Suppose that w is a word of the form $y_2 F_2$ where $\pi(y_2) \leq \pi(F_2) - \pi(0)$. By induction, $w \bullet f$ is a word of the form $y_{k+2} F_{k+2}$ where $\pi(y_{k+2}) \leq \pi(F_{k+2}) - \pi(0)$. We find that

$$\begin{aligned} &w \bullet f \\ &= (w \bullet f_1 f_2 \cdots f_{k-1}) \bullet f_k \end{aligned}$$

$$\begin{aligned}
&= (w \bullet f_1 f_2 \cdots f_{k-1}) F_{k+1}^{-1} \varphi^{k-1} (F_2 \bullet f_k) \\
&= (w \bullet f_1 f_2 \cdots f_{k-2}) F_k^{-1} \varphi^{k-2} (F_2 \bullet f_{k-1}) F_{k+1}^{-1} \varphi^{k-1} (F_2 \bullet f_k) \\
&\quad \vdots \\
&= w \prod_{j=1}^k F_{j+1}^{-1} \varphi^{j-1} (F_2 \bullet f_j)
\end{aligned} \tag{3.1}$$

Let $\mathbf{f} \in \mathcal{O}^\omega$, $\mathbf{f} = f_1 f_2 f_3 \cdots$, where each $f_i \in \mathcal{O}$. For $w \in \{010, 0010, 1010, 10010\}$, define

$$w \bullet \mathbf{f} = \lim_{n \rightarrow \infty} w \bullet (f_1 f_2 f_3 \cdots f_n).$$

Lemma 3.10. *Suppose $w \in \{010, 0010, 1010, 10010\}$ and $\mathbf{f} \in \mathcal{O}^\omega$ and $g \in \mathcal{O}^k$. Suppose $F_2 \bullet \mathbf{f} = \mathbf{x}$. Then*

$$w \bullet (g\mathbf{f}) = (w \bullet g) F_{k+2}^{-1} \varphi^k (\mathbf{x}). \tag{3.2}$$

Proof. Write $\mathbf{f} = f_1 f_2 f_3 \cdots$ and $g = g_1 g_2 \cdots g_k$ where the $f_i, g_i \in \mathcal{O}$. Suppose that $F_2 \bullet f_1 \cdots f_n = x_n$. We will show that $w \bullet (g_1 g_2 \cdots g_k f_1 f_2 \cdots f_n) = (w \bullet g) F_{k+2}^{-1} \varphi^k (x_n)$, and the result follows by taking limits. From (3.1),

$$x_n = F_2 \bullet f_1 \cdots f_n = F_2 \prod_{j=1}^n F_{j+1}^{-1} \varphi^{j-1} (F_2 \bullet f_j)$$

and

$$\begin{aligned}
&w \bullet (g_1 g_2 \cdots g_k f_1 f_2 \cdots f_n) \\
&= w \prod_{j=1}^k F_{j+1}^{-1} \varphi^{j-1} (F_2 \bullet g_j) \prod_{j=1}^n F_{k+j+1}^{-1} \varphi^{k+j-1} (F_2 \bullet f_j) \\
&= (w \bullet g) \prod_{j=1}^n \varphi^k (F_{j+1})^{-1} \varphi^k (\varphi^{j-1} (F_2 \bullet f_j)) \\
&= (w \bullet g) \varphi^k (F_2^{-1} F_2 \prod_{j=1}^n F_{j+1}^{-1} \varphi^{j-1} (F_2 \bullet f_j)) \\
&= (w \bullet g) \varphi^k (F_2)^{-1} \varphi^k (F_2 \prod_{j=1}^n F_{j+1}^{-1} \varphi^{j-1} (F_2 \bullet f_j)) \\
&= (w \bullet g) F_{k+2}^{-1} \varphi^k (x_n),
\end{aligned}$$

as desired. □

Define sets F and V by

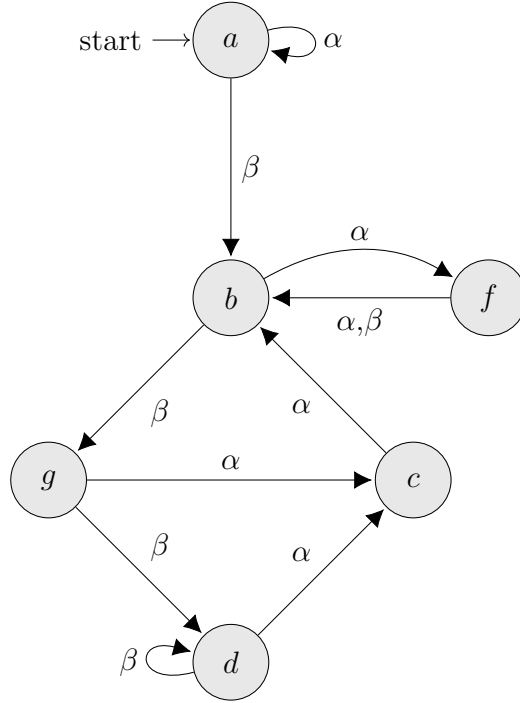
$$F = \alpha^* \beta (\alpha \alpha + \alpha \beta + \beta \alpha \alpha + \beta \beta \beta^* \alpha \alpha)^* (\beta \alpha \beta + \beta \beta \beta^* \alpha \beta),$$

$$V = \mathcal{O}^\omega - \mathcal{O}^* F \mathcal{O}^\omega.$$

Theorem 3.11. *Let $\mathbf{x} \in \mathcal{B}^\omega$. If \mathbf{x} begins with 010, then \mathbf{x} is fb if and only if $\mathbf{x} = 010 \bullet \mathbf{f}$ for some $\mathbf{f} \in V$.*

The set F consists of forbidden factors for words of V .

Let $W = \{\mathbf{f} \in \mathcal{O}^\omega : 010 \bullet \mathbf{f} \in U\}$. To prove Theorem 3.11 it is enough to prove that $W = V$. Let $L \subseteq \mathcal{O}^\omega$ and let $x \in \mathcal{O}^*$. We define the (left) quotient $x^{-1}L$ by $x^{-1}L = \{\mathbf{y} \in \mathcal{O}^\omega : x\mathbf{y} \in L\}$. The next lemma establishes several identities concerning quotients of the set W . They are proved using (3.2) and Lemma 3.1. The identities demonstrate that W is precisely the set of infinite labeled paths through the automaton A_{010} given in Figure 1. These are just the labeled paths omitting factors in F , so that $W = V$. Thus, proving Lemma 3.12 establishes Theorem 3.11.

FIGURE 1. ‘Fife’ automaton A_{010} for U .

Lemma 3.12. *The following identities hold:*

- (a) $W = \alpha^{-1}W$;
- (b) $\beta^{-1}W = (\beta\alpha\alpha)^{-1}W = (\beta\alpha\beta)^{-1}W^{-1} = (\beta\beta\alpha\alpha)^{-1}W$;
- (c) $(\beta\beta\alpha)^{-1}W = (\beta\beta\beta\alpha)^{-1}W$;
- (d) $(\beta\beta\beta)^{-1}W = (\beta\beta\beta\beta)^{-1}W$;
- (e) $(\beta\beta\alpha\beta)^{-1}W = \emptyset$.

Each set of identities corresponds to the state of A_{010} with the same label as the identities. There are two further states: (f) , corresponding to $(\beta\alpha)^{-1}W$, and (g) , corresponding to $(\beta\beta)^{-1}W$. The non-accepting sink (e) is not shown in the figure. The automaton A_{010} is not minimal; for example, (d) can be identified with (g) . However, this form highlights parallels between it and the three automata we present later.

Proof. (a) We have

$$\begin{aligned}
 & \alpha \mathbf{f} \in W \\
 \iff & 010 \bullet \alpha \mathbf{f} \in U \\
 \iff & (010 \bullet \alpha) \varphi(F_2)^{-1} \varphi(\mathbf{x}) \in U \text{ by (3.2)} \\
 \iff & \underline{01001(01001)}^{-1} \varphi(\mathbf{x}) \in U \\
 \iff & \mathbf{x} \in U \text{ by Lemma 3.1 (i)} \\
 \iff & 010 \bullet \mathbf{f} \in U \\
 \iff & \mathbf{f} \in W,
 \end{aligned}$$

so that $\alpha^{-1}W = W$.

(b) Here

$$\begin{aligned}
& \beta \mathbf{f} \in W \\
& \iff 010 \bullet \beta \mathbf{f} \in U \\
& \iff (010 \bullet \beta) \varphi(F_2)^{-1} \varphi(\mathbf{x}) \in U \\
& \iff 01 \ 01001(01001)^{-1} \varphi(\mathbf{x}) \in U \\
& \iff \varphi(0\mathbf{x}) \in U \\
& \iff 0\mathbf{x} \in U \text{ by Lemma 3.1 (i)}.
\end{aligned}$$

Similarly we find that

$$\begin{aligned}
& \beta \alpha \alpha \mathbf{f} \in W \\
& \iff 010 \bullet \beta \alpha \alpha \mathbf{f} \in U \\
& \iff (010 \bullet \beta \alpha \alpha) \varphi^3(F_2)^{-1} \varphi^3(\mathbf{x}) \in U \\
& \iff 01 \ 0100101001001(0100101001001)^{-1} \varphi^3(\mathbf{x}) \in U \\
& \iff \varphi(0\varphi^2(\mathbf{x})) \in U \\
& \iff 0\varphi^2(\mathbf{x}) \in U \text{ by Lemma 3.1 (i)} \\
& \iff \varphi(1\varphi(\mathbf{x})) \in U \\
& \iff 1\varphi(\mathbf{x}) \in U \text{ by Lemma 3.1 (i)} \\
& \iff 0\mathbf{x} \in U \text{ or } \mathbf{x} \in U_{00} \text{ by Lemma 3.1 (ii)} \\
& \iff 0\mathbf{x} \in U.
\end{aligned}$$

Here we use the fact that 01 is a prefix of \mathbf{x} , so that $\mathbf{x} \notin U_{00}$.
Again,

$$\begin{aligned}
& \beta \alpha \beta \mathbf{f} \in W \\
& \iff 010 \bullet \beta \alpha \beta \mathbf{f} \in U \\
& \iff (010 \bullet \beta \alpha \beta) \varphi^3(F_2)^{-1} \varphi^3(\mathbf{x}) \in U \\
& \iff 0101001 \ 0100101001001(0100101001001)^{-1} \varphi^3(\mathbf{x}) \in U \\
& \iff \varphi(0010\varphi^2(\mathbf{x})) \in U \\
& \iff \varphi(101\varphi(\mathbf{x})) \in U \\
& \iff 101\varphi(\mathbf{x}) \in U \\
& \iff 1\varphi(0\mathbf{x}) \in U \\
& \iff 00\mathbf{x} \in U \text{ or } 0\mathbf{x} \in U_{00} \\
& \iff 0\mathbf{x} \in U.
\end{aligned}$$

Here we use the fact that 0 is a prefix of \mathbf{x} , so that 00 is a prefix of $0\mathbf{x}$.
Finally we get

$$\begin{aligned}
& \beta \beta \alpha \alpha \mathbf{f} \in W \\
& \iff 010 \bullet \beta \beta \alpha \alpha \mathbf{f} \in U \\
& \iff (010 \bullet \beta \beta \alpha \alpha) \varphi^4(F_2)^{-1} \varphi^4(\mathbf{x}) \in U
\end{aligned}$$

$$\begin{aligned}
&\iff 01010010010100100101001010(010010100100101001010)^{-1}\varphi^4(\mathbf{x}) \in U \\
&\iff \varphi(001\varphi^3(\mathbf{x})) \in U \\
&\iff 001\varphi^3(\mathbf{x}) \in U \\
&\iff \varphi(10\varphi^2(\mathbf{x})) \in U \\
&\iff 10\varphi^2(\mathbf{x}) \in U \\
&\iff 1\varphi(1\varphi(\mathbf{x})) \in U \\
&\iff 01\varphi(\mathbf{x}) \in U \text{ or } 1\varphi(\mathbf{x}) \in U_{001} \\
&\iff 01\varphi(\mathbf{x}) \in U \\
&\iff \varphi(0\mathbf{x}) \in U \\
&\iff 0\mathbf{x} \in U.
\end{aligned}$$

Thus $\beta^{-1}W = (\beta\alpha\alpha)^{-1}W = (\beta\alpha\beta)^{-1}W = (\beta\beta\alpha\alpha)^{-1}W$, as desired.

(c) Here

$$\begin{aligned}
&\beta\beta\alpha\mathbf{f} \in W \\
&\iff 010 \bullet \beta\beta\alpha\mathbf{f} \in U \\
&\iff (010 \bullet \beta\beta\alpha)\varphi^3(F_2)^{-1}\varphi^3(\mathbf{x}) \in U \\
&\iff 01010 \ 0100101001001(0100101001001)^{-1}\varphi^3(\mathbf{x}) \in U \\
&\iff \varphi(001\varphi^2(\mathbf{x})) \in U \\
&\iff 001\varphi^2(\mathbf{x}) \in U \\
&\iff 10\varphi(\mathbf{x}) \in U \\
&\iff 01\mathbf{x} \in U \text{ or } 1\mathbf{x} \in U_{00} \\
&\iff 01\mathbf{x} \in U.
\end{aligned}$$

Similarly,

$$\begin{aligned}
&\beta\beta\beta\alpha\mathbf{f} \in W \\
&\iff 010 \bullet \beta\beta\beta\alpha\mathbf{f} \in U \\
&\iff (010 \bullet \beta\beta\beta\alpha)\varphi^4(F_2)^{-1}\varphi^4(\mathbf{x}) \in U \\
&\iff 01010010010100101001001010(010010100100101001010)^{-1}\varphi^4(\mathbf{x}) \in U \\
&\iff \varphi(001010\varphi^3(\mathbf{x})) \in U \\
&\iff 001010\varphi^3(\mathbf{x}) \in U \\
&\iff \varphi(1001\varphi^2(\mathbf{x})) \in U \\
&\iff 1001\varphi^2(\mathbf{x}) \in U \\
&\iff 1\varphi(10\varphi(\mathbf{x})) \in U \\
&\iff 010\varphi(\mathbf{x}) \in U \text{ or } 10\varphi(\mathbf{x}) \in U_{001} \\
&\iff 010\varphi(\mathbf{x}) \in U \\
&\iff \varphi(01\mathbf{x}) \in U \\
&\iff 01\mathbf{x} \in U.
\end{aligned}$$

Thus $(\beta\beta\alpha)^{-1}W = (\beta\beta\beta\alpha)^{-1}W$, as desired.

(d) We have

$$\begin{aligned}
& \beta\beta\beta\mathbf{f} \in W \\
& \iff 010 \bullet \beta\beta\beta\mathbf{f} \in U \\
& \iff (010 \bullet \beta\beta\beta)\varphi^3(F_2)^{-1}\varphi^3(\mathbf{x}) \in U \\
& \iff 0101001001 \ 0100101001001(0100101001001)^{-1}\varphi^3(\mathbf{x}) \in U \\
& \iff \varphi(001010\varphi^2(\mathbf{x})) \in U \\
& \iff 001010\varphi^2(\mathbf{x}) \in U \\
& \iff 1001\varphi(\mathbf{x}) \in U \\
& \iff 010\mathbf{x} \in U \text{ or } 10\mathbf{x} \in U_{00} \\
& \iff 010\mathbf{x} \in U.
\end{aligned}$$

Similarly,

$$\begin{aligned}
& \beta\beta\beta\beta\mathbf{f} \in W \\
& \iff 010 \bullet \beta\beta\beta\alpha\mathbf{f} \in U \\
& \iff (010 \bullet \beta\beta\beta\alpha)\varphi^4(F_2)^{-1}\varphi^4(\mathbf{x}) \in U \\
& \iff 0101001001010010100100101001010(010010100100101001010)^{-1}\varphi^4(\mathbf{x}) \in U \\
& \iff \varphi(00101001001\varphi^3(\mathbf{x})) \in U \\
& \iff 00101001001\varphi^3(\mathbf{x}) \in U \\
& \iff \varphi(1001010\varphi^2(\mathbf{x})) \in U \\
& \iff 1001010\varphi^2(\mathbf{x}) \in U \\
& \iff 1\varphi(1001\varphi(\mathbf{x})) \in U \\
& \iff 01001\varphi(\mathbf{x}) \in U \text{ or } 1001\varphi(\mathbf{x}) \in U_{001} \\
& \iff 01001\varphi(\mathbf{x}) \in U \\
& \iff \varphi(010\mathbf{x}) \in U \\
& \iff 010\mathbf{x} \in U.
\end{aligned}$$

Thus $(\beta\beta\beta)^{-1}W = (\beta\beta\beta\beta)^{-1}W$, as desired.

(e) We have

$$\begin{aligned}
& \beta\beta\alpha\beta\mathbf{f} \in W \\
& \iff 010 \bullet \beta\beta\alpha\beta\mathbf{f} \in U \\
& \iff (010 \bullet \beta\beta\alpha\beta)\varphi^4(F_2)^{-1}\varphi^4(\mathbf{x}) \in U \\
& \iff 01010010010100100101001010(010010100100101001010)^{-1}\varphi^4(\mathbf{x}) \in U \\
& \iff \varphi(00101001\varphi^3(\mathbf{x})) \in U \\
& \iff 00101001\varphi^3(\mathbf{x}) \in U \\
& \iff \varphi(10010\varphi^2(\mathbf{x})) \in U \\
& \iff 10010\varphi^2(\mathbf{x}) \in U \\
& \iff 1\varphi(101\varphi(\mathbf{x})) \in U \\
& \iff 0101\varphi(\mathbf{x}) \in U \text{ or } 101\varphi(\mathbf{x}) \in U_{001}
\end{aligned}$$

$$\begin{aligned} &\iff 0101\varphi(\mathbf{x}) \in U \\ &\iff \varphi(00\mathbf{x}) \in U \\ &\iff 00\mathbf{x} \in U. \end{aligned}$$

However, \mathbf{x} has prefix 0, so $00\mathbf{x}$ has the 4⁻-power 000 as a prefix. Therefore, $00\mathbf{x} \notin U$. It follows that $(\beta\beta\alpha\beta)^{-1}W = \emptyset$. □

Remark 3.13. We mention without proof that

$$\beta\alpha \bullet \mathbf{f} \in W \iff 1\mathbf{f} \in V,$$

and

$$\beta\beta \bullet \mathbf{f} \in W \iff 10\mathbf{f} \in V.$$

We do not need these equivalences to formulate the automaton.

Remark 3.14. As Fife's Theorem features a forbidden factor characterization, we have given such a characterization for V . In fact, however, all information about V is captured in the automaton A_{010} . For a finite string $g \in \mathcal{O}^*$, word $010 \bullet g$ is fb exactly when g can be walked on the automaton A_{010} ; such a string g never encounters the (undepicted) non-accepting sink (e), which can only be reached *via* (c) on input β . If $\mathbf{f} \in \mathcal{O}^\omega$, word $010 \bullet \mathbf{f}$ is fb exactly when $010 \bullet f$ is fb for finite prefix f of \mathbf{f} .

It is routine to write down an expression for the regular language of finite words arriving at the sink, which is $F\mathcal{O}^*$. It happens F will take us from any given state to the sink. For this reason, $010 \bullet g$ is fb exactly when g has no *factor* in F .

For $u \in \{010, 0010, 1010, 10010\}$, let $W_u = \{\mathbf{f} \in \mathcal{O}^\omega : u \bullet \mathbf{f} \in U\}$. Using the same method as in Lemma 3.12, one shows that W_u is the subset of \mathcal{O}^ω which can be walked on A_u , where the additional automata are depicted in Figures 2, 3, and 4.

4. LEXICOGRAPHICALLY EXTREMAL FB WORDS

We use the usual lexicographic order and binary words. Note that the morphism φ is order-reversing: Let u and v be non-empty binary words so that $u < v$. Write $u = u'0u''$, $v = u'1v''$ where u' is the longest common prefix of u and v . Then $\varphi(u')01$ is a prefix of $\varphi(u)$, while $\varphi(u')00$ is a prefix of $\varphi(v)$, so that $\varphi(u) > \varphi(v)$.

For each non-negative integer n , let ℓ_n (resp., m_n) be the lexicographically least (resp., greatest) word of length n such that ℓ_n (resp., m_n) is the prefix of an fb ω -word.

Lemma 4.1. *Let n be a non-negative integer. Word ℓ_n is a prefix of ℓ_{n+1} . Word m_n is a prefix of m_{n+1} .*

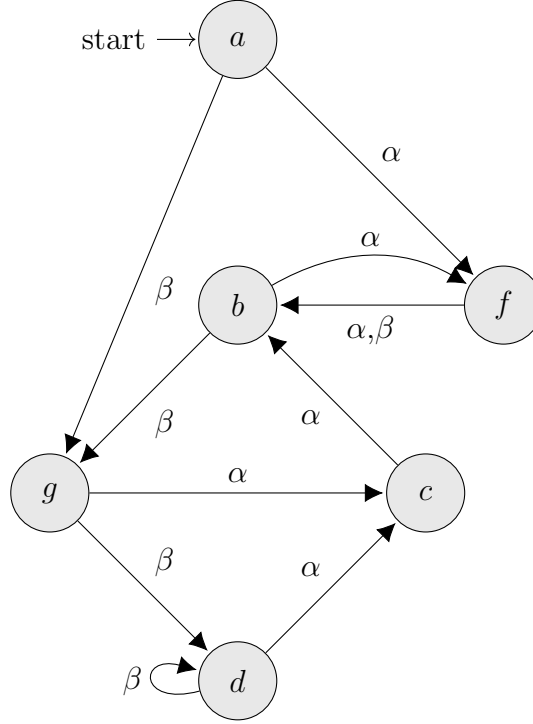
Proof. We prove the result for the ℓ_n ; the proof for the m_n is similar. Let $\ell_n \mathbf{r}$ be an fb ω -word. Let p be the length $n+1$ prefix of $\ell_n \mathbf{r}$, and let q be the length n prefix of ℓ_{n+1} . We need to show that $q = \ell_n$. Both p and q are prefixes of fb ω -words. By definition we have $\ell_{n+1} \leq p$ and $\ell_n \leq q$. If $\ell_n < q$, then $p^- = \ell_n < q = \ell_{n+1}^-$, so that $p < \ell_{n+1}$. This is a contradiction. Therefore $\ell_n = q$, as desired. □

Let $\ell = \lim_{n \rightarrow \infty} \ell_n$, $\mathbf{m} = \lim_{n \rightarrow \infty} m_n$.

Lemma 4.2. *Word ℓ is the lexicographically least fb ω -word. Word \mathbf{m} is the lexicographically greatest fb ω -word.*

Proof. We show that ℓ is lexicographically least. The proof that \mathbf{m} is lexicographically greatest is similar. Let \mathbf{w} be an fb ω -word. For each n let w_n be the length n prefix of \mathbf{w} , so that $\mathbf{w} = \lim_{n \rightarrow \infty} w_n$.

If for some n we have $w_n > \ell_n$, then $\mathbf{w} > \ell$.


 FIGURE 2. ‘Fife’ automaton A_{0010} for W_{0010} .

Otherwise $w_n \leq \ell_n$ for all n . By the definition of the ℓ_n we have $w_n \geq \ell_n$, so that $w_n = \ell_n$ for all n . Thus $\mathbf{w} = \lim_{n \rightarrow \infty} w_n = \lim_{n \rightarrow \infty} \ell_n = \ell$.

In all cases we find $\mathbf{w} \geq \ell$. □

Lemma 4.3. *We have $\ell = \varphi(\mathbf{m})$.*

Proof. Since the Fibonacci word ϕ has suffixes beginning with 00, $\ell_2 = 00$, and we can write $\ell = \varphi(\mathbf{m}')$ for some \mathbf{m}' by Theorem 2.3. Since ℓ is fb, \mathbf{m}' is fb by Lemma 2.1. It follows that $\mathbf{m}' \leq \mathbf{m}$. However if $\mathbf{m}' < \mathbf{m}$ then $\varphi(\mathbf{m}) < \varphi(\mathbf{m}') = \ell$ since φ is order-reversing. This is impossible, since ℓ is least. Therefore $\mathbf{m}' = \mathbf{m}$, and $\ell = \varphi(\mathbf{m})$. □

Lemma 4.4. *We have $\mathbf{m} = 1\varphi(\ell)$.*

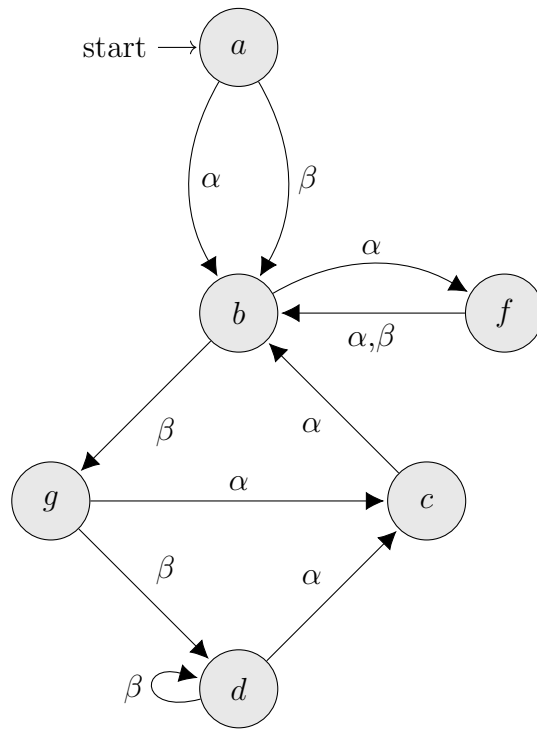
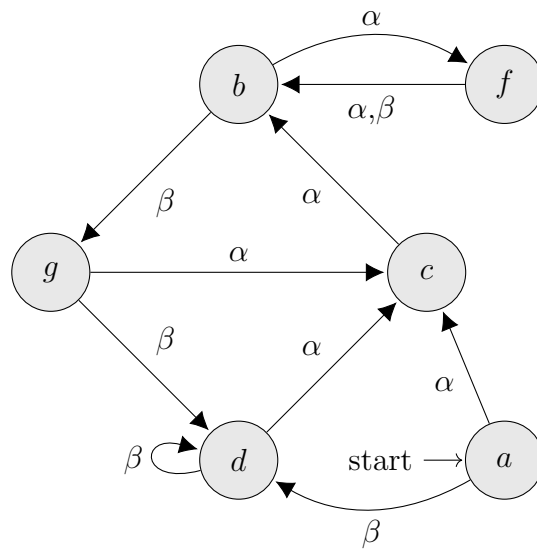
Proof. Since 00 is a prefix of ℓ we see that 0101 is a prefix of $\varphi(\ell)$. It follows from Lemma 2.7 that $1\varphi(\ell)$ is fb. Since 10101 is the lexicographically greatest fb word of length 5, $m_5 = 10101$. It follows that we can write $\mathbf{m} = 1\varphi(\ell')$ for some fb word ℓ' . However, φ is order reversing, so that if $\ell' > \ell$, then $1\varphi(\ell) > 1\varphi(\ell') = \mathbf{m}$, contradicting the maximality of \mathbf{m} . Thus $\ell' = \ell$ and $\mathbf{m} = 1\varphi(\ell)$. □

Theorem 4.5. *Word \mathbf{m} satisfies*

$$\mathbf{m} = 1\varphi^2(\mathbf{m}). \quad (4.1)$$

Word ℓ satisfies

$$\ell = 0\varphi^2(\ell). \quad (4.2)$$

FIGURE 3. 'Fife' automaton A_{1010} for U_{1010} .FIGURE 4. 'Fife' automaton A_{10010} for U_{10010} .

Proof. This follows from Lemma 4.3 and Lemma 4.4. \square

Lemma 4.6. *Neither of \mathbf{m} and ℓ is the fixed point of a binary morphism. Every factor of ϕ is a factor of \mathbf{m} and ℓ , but there are infinitely many factors of \mathbf{m} (resp., ℓ) which are not factors of ϕ or ℓ (resp., \mathbf{m}).*

Proof. Word \mathbf{m} has prefix 10101, but by Lemma 2.6, the word 10101 is not a factor of ${}^{-}\mathbf{m}$. It follows that \mathbf{m} cannot be the fixed point of a binary morphism. Similarly, $\varphi(10101)$ is a prefix of ℓ , but not a factor of ${}^{-}\ell$, so that ℓ is not a fixed point of a binary morphism.

Every factor of ϕ is a factor of $\varphi^{2k}(0)$ for some k , and is therefore a factor of

$$\mathbf{m} = 1\varphi^2(\mathbf{m}) = 1\varphi^2(1\varphi^2(\mathbf{m})) = \dots = 1\varphi^2(1)\varphi^4(1) \dots \varphi^{2k-2}(1)\varphi^{2k}(\mathbf{m})$$

Similarly, every factor of ϕ is a factor of ℓ . However, none of factors $\varphi^{2k}(10101)$ of \mathbf{m} (resp., $\varphi^{2k+1}(10101)$ of ℓ) is a factor of ϕ or ℓ (resp, \mathbf{m}). \square

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