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A. EHRENFEUCHT

G. ROZENBERG

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ON SOME CONTEXT FREE LANGUAGES THAT ARE NOT DETERMINISTIC ETOL LANGUAGES (*) (1)

by A. EHRENFUCHT (2) and G. ROZENBERG (3)

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Abstract. — *It is shown that there exist context free languages which are not deterministic ETOL languages. The proof is based on an analysis of the structure of derivations in deterministic ETOL systems.*

I. INTRODUCTION

The theory of L systems (see, e. g., [8, 11 and 10]) is now a fashionable area of formal language theory. It brought along a lot of new problems (and techniques for solving them) and at the same time it put a lot of classical problems and notions in a new perspective. In particular through the theory of L systems we have gained a lot of insight into essential differences between sequential and parallel rewriting systems (see, e. g. [13]).

Among various families of L languages, ETOL languages occupy a central place (see, e. g., [2, 3, 9 and 10]). Its subfamily, the class of EDTOL languages, has a quite nice mathematical structure and at the same time plays an important role in investigating ETOL languages (see, e. g., [4, 5, 6 and 10]). Thus it is quite natural to compare the language generating power of context free grammars (which occupy a special place in the Chomsky hierarchy) with this of EDTOL systems.

Although one trivially establishes the existence of EDTOL languages which are not context free (the language $\{a^{2^n} : n \geq 0\}$ is one of them) it was an open problem for quite a time, whether or not there exist context free languages that are not EDTOL.

In this paper we prove the existence of context free languages that are not EDTOL. Except for (as we have it already indicated) shedding some light on

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(1) This work supported by NSF Grant # GJ-660,

(2) Department of Computer Science, University of Colorado, Boulder, U.S.A.

(3) Department of Mathematics, University of Antwerp, U.I.A., Wilrijk, Belgium and Institute of Mathematics Utrecht University, Utrecht, De Uithof Holland.

the difference between sequential and parallel rewriting, this result seems to be also of technical importance. Thus, e. g. :

1) in [6] it is used to show that there exist indexed languages that are not ETOL;

2) in [7] it is used to show that there exist top-down deterministic tree transformation languages that are not indexed;

3) it can be used (J. Engelfriet, private communication) to show that there are context free languages that are not checking automata languages.

Troughout the paper we shall use the standard formal language theoretic terminology and notation. Also we use:

$\mu(x)$ to denote the smallest positive integer n such that any two disjoint subwords of x of length n are different;

$\#_a x$ to denote the number of occurrences of the letter a in the word x , and $\|m\|$ to denote the absolute value of an integer m .

II. EDTOL SYSTEMS AND LANGUAGES

In this section we recall (see, e. g., [8]) the definition of an EDTOL system (and language). We also recall from [4] some basic notions pertinent to the analysis of derivations in EDTOL systems.

DEFINITION 1 : An *extended deterministic table L system without interactions*, abbreviated as an EDTOL system, is defined as a construct $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ such that.

1) V is a finite set (called the *alphabet of G*).

2) \mathcal{P} is a finite set (called the *set of tables of G*), each element of which is a finite subset of $V \times V^*$. Each P in \mathcal{P} satisfies the following conditions: for each a in V there exists *exactly one* α in V^* such that $\langle a, \alpha \rangle$ is in P .

3) $\omega \in V^+$ (called the *axiom of G*).

(We assume that V, Σ and each P in \mathcal{P} are nonempty sets.)

We call G *propagating*, abbreviated as an EPDTOL system if each P in \mathcal{P} is a subset of $V \times V^+$.

DEFINITION 2: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system. Let $x \in V^+$ $x = a_1, \dots, a_k$, where each $a_j, 1 \leq j \leq k$, is an element of V , and let $y \in V^*$. We say that x *directly derives* y in G (denoted as $x \xrightarrow{G} y$) if and only if there exist P in \mathcal{P} and p_1, \dots, p_k in P such that $p_1 = \langle a_1, \alpha_1 \rangle, \dots, p_k = \langle a_k, \alpha_k \rangle$ and $y = \alpha_1 \dots \alpha_k$. We say that x *derives* y in G (denoted as $x \xrightarrow{*G} y$) if and only if either (i) there exists a sequence of words x_0, x_1, \dots, x_n in V^* ($n \geq 1$) such that $x_0 = x, x_n = y$ and $x_0 \xrightarrow{G} x_1 \xrightarrow{G} \dots \xrightarrow{G} x_n$, or (ii) $x = y$.

DEFINITION 3: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system. The *language of G* , denoted as $L(G)$, is defined as $L(G) = \{ x \in \Sigma^* : \omega \xrightarrow{*}_G x \}$.

NOTATION: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system.

1) If $\langle a, \alpha \rangle$ is an element of some P in \mathcal{P} then we call it a *production* and write $a \rightarrow \alpha$ is in P or $a \xrightarrow{P} \alpha$.

2) If $x \xrightarrow{P}_G y$ using table P from \mathcal{P} , then we also write $x \xrightarrow{P} y$.

3) In fact each table P from \mathcal{P} is a finite substitution. Hence we can use a "functional" notation and write P^m for an m -folded composition of P , $P_1 P_{2-1} \dots P_m$ for a composition of tables P_1, \dots, P_m (first P_1 , then P_2, \dots, P_m), etc. In this sense $P_1 \dots P_m(x)$ denotes the (unique) word y which is obtained by rewriting x by the sequence of tables P_1, P_2, \dots, P_m .

Here are two examples of EDTOL systems and languages.

Example 1: Let $G_1 = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ where

$$V = \{ A, B, a \}, \Sigma = \{ a \}, \omega = AB \text{ and } \mathcal{P} = \{ P_1, P_2 \},$$

where:

$$P_1 = \{ A \rightarrow A^2, B \rightarrow B^3, a \rightarrow a \}, \quad P_2 = \{ A \rightarrow a, B \rightarrow a, a \rightarrow a \}.$$

G_1 is an EPDTOL system where $L(G_1) = \{ a^{2^n + 3^n}; n > 0 \}$.

Example 2: Let $G_2 = \langle \{ a, b, A, B, C, D, F \}, \mathcal{P}, CD, \{ a, b \} \rangle$, where $\mathcal{P} = \{ P_1, P_2, P_3 \}$ and

$$P_1 = \{ a \rightarrow F, b \rightarrow F, A \rightarrow A, B \rightarrow B, C \rightarrow ACB, D \rightarrow DA \},$$

$$P_2 = \{ a \rightarrow F, b \rightarrow F, A \rightarrow A, B \rightarrow B, C \rightarrow CB, D \rightarrow D \},$$

$$P_3 = \{ a \rightarrow F, b \rightarrow F, A \rightarrow a, B \rightarrow b, C \rightarrow \Lambda, D \rightarrow \Lambda \},$$

G_2 is an EDTOL system which is not propagating, and

$$L(G_2) = \{ a^n b^m a^n : n \geq 0, m \geq n \}.$$

Now we will recall from [4] various notions and theorems concerning derivations in EDTOL systems. They will be very essentially used in the sequel of this paper.

DEFINITION 4: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system. A *derivation (of y from x) in G* is a construct $D = ((x_0, \dots, x_k), (T_0, \dots, T_{k-1}))$ where $k \geq 2$ and

- 1) x_0, \dots, x_k are in V^* ;
- 2) T_0, \dots, T_{k-1} are in \mathcal{P} ;
- 3) $x_0 = x$ and $x_k = y$ and $x_i \xrightarrow{T_i} x_{i+1}$ for $0 \leq i < k$.

If $x = \omega$ then we simply say that D is a *derivation (of y) in G* .

DEFINITION 5: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system and let $D = ((x_0, \dots, x_k), (T_0, \dots, T_{k-1}))$ be a derivation in G . For each occurrence a in x_j , $1 \leq j \leq k$, by a *contribution of a in D* , denoted as $\text{Contr}_D(a)$, we mean the whole subword of x_k which is derived from a . (Then if x is an occurrence of a word in x_j , $\text{Contr}_D(x)$ has the obvious meaning.) Also, for each T_j , $1 \leq j \leq k-1$, $T_j(\alpha)$ denotes both the word β such that $\alpha \xrightarrow{T_j} \beta$ and the contribution to x_{j+1} by an occurrence (of a word) α in x_j , but this should not lead to confusion.

DEFINITION 6: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system and let $D = ((x_0, \dots, x_k), (T_0, \dots, T_{k-1}))$ be a derivation in G . A *subderivation* of D is a construct $\bar{D} = ((x_{i_0}, \dots, x_{i_q}), (P_{i_0}, \dots, P_{i_{q-1}}))$ where:

- 1) $0 \leq i_0 \leq i_1 < \dots < i_q \leq k-1$, and
- 2) for each j in $\{0, \dots, q-1\}$, $P_{i_j} = T_{i_j} T_{i_{j+1}} \dots T_{i_{j+1}-1}$.

Remark: Although a subderivation of a derivation in G does not have to be a derivation in G we shall use for subderivations the same terminology as for derivations and this should not lead to confusion. (For example we talk about tables used in a subderivation.) It is clear that to determine a subderivation \bar{D} of a given derivation D it suffices to indicate which words of D form the sequence of words of \bar{D} . We will also talk about a subderivation $\bar{\bar{D}}$ of a subderivation \bar{D} of D meaning a subderivation of D the words of which are chosen from the words of \bar{D} . (In this sense we have that a subderivation of a subderivation of a derivation D is a subderivation of the derivation D .) Given a subderivation \bar{D} of D and an occurrence a in a word of \bar{D} we talk about $\text{Contr}_{\bar{D}}(a)$ in an obvious sense.

DEFINITION 7: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be an EDTOL system and let f be a function from \mathcal{R}_{pos} into \mathcal{R}_{pos} . Let D be a derivation in G and let $\bar{D} = ((x_0, \dots, x_k), (T_0, \dots, T_{k-1}))$ be a subderivation of D . Let a be an occurrence (of A from V) in x_t for some t in $\{0, \dots, k\}$, where $|x_k| = n$.

- 1) a is called *(f, D)-big (in x_t)*, if $|\text{Contr}_D(a)| > f(n)$;
- 2) a is called *(f, D)-small (in x_t)*, if $|\text{Contr}_D(a)| \leq f(n)$;
- 3) a is called *unique (in x_t)* if a is the only occurrence of A in x_t ;
- 4) a is called *multiple (in x_t)* if a is not unique (in x_t);
- 5) a is called *\bar{D} -recursive (in x_t)* if $T_t(a)$ contains an occurrence of A ;
- 6) a is called *\bar{D} -nonrecursive (in x_t)* if a is not \bar{D} -recursive (in x_t).

Remark: 1) Note that in an EDTOL system each occurrence of the same letter in a word is rewritten in the same way during a derivation process.

Hence we can talk about (f, D) -big (in x_t), (f, D) -small (in x_t), unique (in x_t), multiple (in x_t), \bar{D} -recursive (in x_t) and \bar{D} -nonrecursive (in x_t) letters.

2) Whenever f or D or \bar{D} is fixed in considerations we will simplify the terminology in the obvious way (for example we can talk about big letters (in x_t) or about recursive letters (in x_t)).

Using standard methods (see, e. g., [9 or 10]) one can easily prove that for each EDTOL system G there exists an EPDTOL system H such that $L(G) \cup \{\Lambda\} = L(H) \cup \{\Lambda\}$. Hence, for the purpose of this paper, it suffices to analyze derivations in EPDTOL systems only.

Given a derivation in an EPDTOL system, all occurrences of the same letter on a given level are rewritten in the same way. However the behaviour of the same letter on *different* levels can be "drastically" different, which is due to the use of (possibly) different tables on different levels of the derivation. For example, the same letter can be big on some levels and small on others.

For this reason it is difficult to analyze an arbitrary derivation, and so we try to find out a subderivation such that the "behaviour" of a letter does not depend on the level on which it occurs. We call such subderivations "neat". What is precisely meant by saying that a letter behaves in the same way on all levels of a subderivation is stated by conditions (2) through (7) of the following definition. It is also required, see condition (1), that in a neat subderivation the sets of letters occurring on each level are the same.

DEFINITION 8: Let $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ be EPDTOL system and let f be a function from \mathcal{R}_{pos} into \mathcal{R}_{pos} . Let D be a derivation in G and let $\bar{D} = ((x_0, \dots, x_k), (T_0, \dots, T_{k-1}))$ be a subderivation of D . We say that \bar{D} is neat (which respect to D and f) if the following holds:

1) $\text{Min}(x_0) = \text{Min}(x_1) = \dots = \text{Min}(x_k)$. (For a word x , $\text{Min}(x)$ denotes the set of all letters that occur in x).

2) If j is in $\{0, \dots, k\}$ and A is a letter from $\text{Min}(x_j)$, then A is big (small, unique, multiple, recursive, nonrecursive) in x_j if and only if A is big (small, unique, multiple, recursive or nonrecursive respectively) in x_t for every t in $\{0, \dots, k\}$.

3) For every j in $\{0, \dots, k\}$, $\text{Min}(x_j)$ contains a big recursive letter.

4) For every j in $\{0, \dots, k\}$ and every A in $\text{Min}(x_j)$, if A is big then A is unique.

5) For every j in $\{0, \dots, k-1\}$.

5.1. T_j contains a production of the form $A \rightarrow \alpha$ where A is a big letter and α contains small letters, and

5.2. If $B \rightarrow \beta$ is in T_j , then:

if B is small recursive, then $\beta = B$ and

if B is nonrecursive then β consists of small recursive letters only.

6) For every i, j in $\{0, \dots, k\}$ and every A in V , if a is a small occurrence of A in x_i and b is a small occurrence of A in x_j , then $|\text{Contr}_D(a)| = |\text{Contr}_D(b)|$.

7) For every big recursive letter Z and for every i, j in $\{0, \dots, k-1\}$, if $Z \xrightarrow{T_i} \alpha$ and $Z \xrightarrow{T_j} \beta$ then α and β have the same set of big letters (and in fact none of them except for Z is recursive).

Throughout this paper we shall often use phrases like “(sufficiently) long word x with a property P ” or a “(sufficiently) long (sub) derivation with a property P ”. Intuitively, this will have the following meaning (for a more formal definition, see [4]).

1) By a “(sufficiently) long word x with a property P ” we mean a word x with property P which is longer than some constant C the computation of which does not depend on x itself.

2) By a “(sufficiently) long (sub)derivation with a property P ” we mean a (sub) derivation D satisfying P of a word x which is longer than $|x|^C$ where C is a constant independent of either x or D .

The following result (proved in [4]) will be used to get long subderivations from other long subderivations. Before we formulate it we need another definition.

DEFINITION 9: Let f be a function from \mathcal{R}_{pos} into \mathcal{R}_{pos} . We say that f is *slow* if

$$(\forall \alpha)_{\mathcal{R}_{\text{pos}}} (\exists n_\alpha)_{\mathcal{R}_{\text{pos}}} (\forall x)_{\mathcal{R}_{\text{pos}}}, \quad [\text{if } x > n_\alpha \text{ then } f(x) < x^\alpha].$$

Thus a constant function, $(\log x)^k$ and $(\log x)^{\log \log x}$ are examples of slow functions, whereas $(\log x)^{\log x}$, x^2 , \sqrt{x} are examples of functions which are not slow.

Let G be an EPDTOL system and let g be a slow function. Let \bar{D} be a long subderivation of a derivation D of x in G . Let us divide the words in \bar{D} into classes in such a way that the number of classes is not larger than $g(|x|)$.

LEMMA 1: *There exists a long subderivation of D consisting of all the words which belong to one class of the above division into classes.*

The following notion appears to be very useful in dealing with the structure of derivations in EPDTOL systems.

DEFINITION 10: Let Σ be a finite alphabet and let f be a function from \mathcal{R}_{pos} into \mathcal{R}_{pos} . Let w be in Σ^* . We say that w is an *f -random word (over Σ)* if

$$(\forall w_1, u_1, w_2, u_2, w_3)_{\Sigma^*} \\ [\text{if } w = w_1 u_1 w_2 u_2 w_3 \text{ and } |u_1| > f(|w|) \text{ then } u_1 \neq u_2].$$

Thus, informally speaking, we call a word w *f -random* if every two disjoint subwords of w which are longer than $f(|w|)$ are different.

The following result was proved in [4].

THEOREM 1: *For every EPDTOL system G and every slow function f there exist r in \mathcal{R}_{pos} and s in \mathbb{N} such that, for every w in $L(G)$, if $|w| > s$ and w is f -random, then every derivation of w in G contains a neat subderivation longer than $|w|^r$.*

The number of f -random words for a function f which is not “too slow” over an alphabet consisting of at least two letters is “rather large” which is stated in the following theorem proved in [5].

THEOREM 2: *Let Σ be a finite alphabet such that $\#\Sigma = m \geq 2$. Let f be a function from \mathcal{R}_{pos} into \mathcal{R}_{pos} such that, for every x in \mathcal{R}_{pos} , $f(x) \geq 4 \log_2 x$. Then, for every positive integer n ,*

$$\frac{\#\{w \in \Sigma^* : |w| = n \text{ and } w \text{ is } f\text{-random}\}}{m^n} \geq 1 - \frac{1}{n}.$$

BINARY BRACKETED LANGUAGES

In this section we introduce binary bracketed languages which are context free languages but which will be proved in the next section not to be EDTOL languages.

DEFINITION 11: Let i be a positive integer. A *binary i -bracketed language*, denoted as \mathcal{B}_i , is the language generated by the context free grammar $H(\mathcal{B}_i) = \langle \{S\} \{ [\underset{1}{}, \underset{2}{}, \dots, \underset{i}{}, \underset{i}{}, \dots, \underset{2}{}, \underset{1}{}] \}, P, S \rangle$ where P consists of the following productions.

$$\begin{aligned} S &\rightarrow [\underset{i}{SS}], \dots, S \rightarrow [\underset{2}{SS}], S \rightarrow [\underset{1}{SS}], \\ S &\rightarrow [\underset{i}{S}], \dots, S \rightarrow [\underset{2}{S}], S \rightarrow [\underset{1}{S}], \\ S &\rightarrow \Lambda. \end{aligned}$$

In fact we will first prove that \mathcal{B}_1 is not an EDTOL language and then we will conclude that no $\mathcal{B}_i, i \geq 1$, is an EDTOL language. Thus all our “technical” definitions concern \mathcal{B}_1 . (To simplify notation we write “[” for “[” and “]” for “]”).

DEFINITION 12: Let $x \in \mathcal{B}_1$. The *depth of x* , denoted as $\text{Depth}(x)$, is the depth of the longest nesting of brackets in x . More formally, $\text{Depth}(x)$ is defined inductively as follows:

- (i) $\text{Depth}(\Lambda) = 0$;
- (ii) For $x \neq \Lambda$ let \bar{x} denote the word obtained from x by erasing subwords $[]$ in x . If $\text{Depth}(\bar{x}) = k$ then $\text{Depth}(x) = k + 1$.

DEFINITION 13: Let $x \in \{ [,] \}^*$. The *score of x* , denoted as $\text{Score}(x)$, is defined by $\text{Score}(x) = \#_1(x) - \#_2(x)$.

Now we shall prove two properties concerning scores of words in \mathcal{B}_1 and their depths. These properties will turn out to be very useful later on.

LEMMA 2: Let w be in \mathcal{B}_1 where for some w_1, w_2, w_3 in $\{ [,] \}^*$, $w = w_1 w_2 w_3$. Then $\|\text{Score}(w_2)\| \leq \text{Depth}(w)$.

Proof: We prove this result by induction on the depth of w .

- (i) If $\text{Depth}(w) = 0$ then $w = \Lambda$ and the lemma trivially holds.
- (ii) Let us assume that the lemma holds for all w such that $\text{Depth}(w) \leq k$.
- (iii) Let $\text{Depth}(w) = k + 1$ and let $w = w_1 w_2 w_3$.

Let \bar{w} be the word obtained from w by erasing subwords of the form $[]$ from w , and let $\bar{w} = \bar{w}_1 \bar{w}_2 \bar{w}_3$ where \bar{w}_1, \bar{w}_2 and \bar{w}_3 correspond in this manner to w_1, w_2 and w_3 respectively. Thus $\text{Depth}(\bar{w}) = k$ and so by the inductive assumption $\|\text{Score}(\bar{w}_2)\| \leq k$.

Let us observe that w_2 must have one of the following four forms:

1. it begins with $]$ and ends with $[$;
2. it begins with $[$ and ends with $[$;
3. it begins with $]$ and ends with $]$;
4. it begins with $[$ and ends with $]$.

It is easy to see that in all these cases $\|\text{Score}(\bar{w}_2) - \text{Score}(w_2)\| \leq 1$ and so the lemma holds.

LEMMA 3: $(\forall n)_N (\exists m)_N (\forall w)_{\mathcal{B}_1}$

[if $w = w_1 w_2 w_3$ and $|w_2| \geq m$ then $w_2 = u_1 u_2 u_3$ with $\|\text{Score}(u_2)\| \geq n$].

Proof: Let $n \in N$ and let $m = 2^{2n+2}$. Let w be a word in \mathcal{B}_1 such that $|w| \geq m$ and let w_1, w_2, w_3 be such that $w = w_1 w_2 w_3$ and $|w_2| \geq m$.

Let us consider a derivation tree T for w in $H(\mathcal{B}_1)$. Let \bar{T} be a subtree of T obtained by removing from T all the nodes (and edges leading to them) that do not "contribute" to w_2 .

Now, if $\|\text{Score}(w_2)\| \geq n$ then we set $u_2 = w_2$ and the lemma holds.

If not then we proceed as follows.

We divide nodes in \bar{T} into three categories:

type 0, neither a node labeled with $]$ nor a node labeled with $[$ is a among direct descendants of such a node;

unary, among the direct descendants of such a node is either a node labeled with $]$ or a node labeled with $[$, but not both;

binary, among the direct descendants of such a node are both, a node labeled with $[$ and a node labeled with $]$.

Firts we shall prove that for $f(g) = 32 \log_2^2 g$ we have arbitrarily long words in \mathcal{B}_1 which are f -random but of a "small" depth.

THEOREM 3:

$(\forall n)_N (\exists y)_{\mathcal{B}_1} [|y| > n \text{ and Depth } (y) < 2 \log_2 |y| \text{ and } \mu(y) < 32 \log_2^2 |y|]$.

Proof: Let x be a word in \mathcal{B}_1 such that its derivation tree in $H(\mathcal{B}_1)$ is of the form and is hat height n for some $n > 1$.

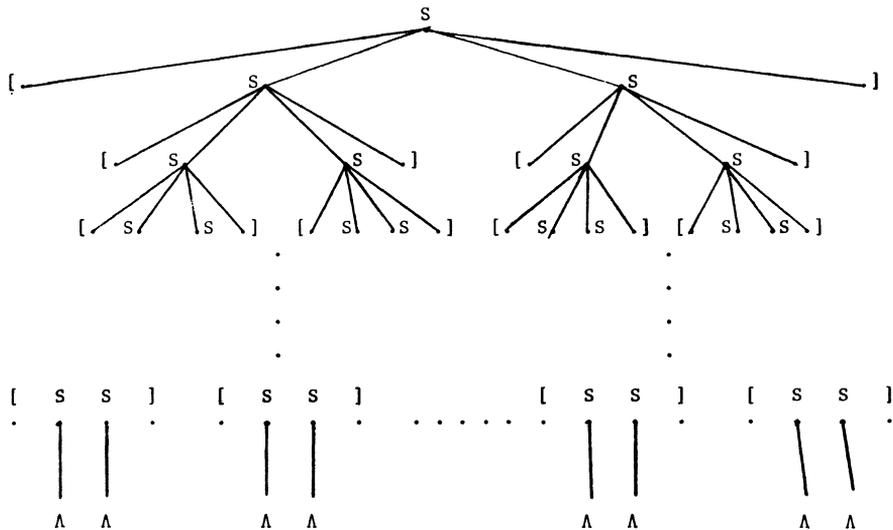


Figure 2.

(In other words after erasing in this tree all nodes not labeled by S and erasing all connections leading to them one gets a full binary tree.)

Let $\Sigma = \{B_1, B_2\}$. Let h be a homomorphism from $\{B_1, B_2\}^*$ into $\{[,]\}^*$ defined by $h(B_1) = []$ and $h(B_2) = [[]]$. Let w be an arbitrary word over $\{B_1, B_2\}$ such that the length of w equals the number of occurrence of the word $[]$ in x . Say $w = b_1 b_2 \dots b_j$ with b_1, \dots, b_j in $\{B_1, B_2\}$. Let $\mu(w) \leq k$ for some k in N .

Let $x(w)$ be the word (over $\{[,]\}$) which is obtained from x by replacing the i' th (from the left) occurrence of $[]$ in x by $[h(b_i)]$. (For example if

$$x = [[[[]]] [] [] []]$$

and

$$\begin{aligned} w &= B_2 B_1 B_1 B_2 \text{ then } x(w) \\ &= [[[[[]]]] [] [] []] \end{aligned}$$

Let us assume that $n > 5$.

1) Note that $|x| = 2^{n+1} - 2$ and $|x(w)| \geq 2^{n+1} - 2 + 2^n > 2^{n+1}$. Thus $n \leq \log_2 |x(w)|$.

2) As $\text{Depth}(x) = n$ and, for i in $\{1, 2\}$,

$$\text{Depth}(h(B_i)) \leq 2, \text{Depth}(x(w)) \leq n+2.$$

Thus $\text{Depth}(x(w)) \leq n+n = 2n \leq 2 \log_2 |x(w)|$.

3) Let us note that the longest subword of x which does not contain $[]$ as its subword is no longer than $2n-2$. This implies that the longest subword of $x(w)$ which does not contain as a subword $[h(B_i)]Z$, where $i \in \{1, 2\}$ and Z does not contain $[]$ as a subword, is no longer than $2n-2+5 = 2n+4$.

4) If $x(w)$ contains a subword α which contains as a subword

$$[h(b_{i_1})]Z_{i_1}[h(b_{i_2})]Z_{i_2} \dots [h(b_{i_k})]Z_{i_k} \dots \quad (\star)$$

for some i_1, \dots, i_k in $\{1, \dots, j\}$, where none of Z_{i_1}, \dots, Z_{i_k} contains $[]$ as a subword, then no subword of $x(w)$ disjoint with α is identical to α .

This follows because if $x(w)$ would contain two disjoint occurrences of a word α of the form (\star) then w would contain two disjoint occurrences of an identical subword of length k . This however contradicts the assumption that $\mu(w) \leq k$.

5) From 3 and 4 it follows that

$$\mu(x(w)) \leq k.(2n+4) \leq 2k.(n+2) \leq 2k.4n \leq 2k.4 \log_2 |x(w)|.$$

From Theorem 2 we know that if f is a slow function such that $f(s) \geq 4 \log_2 s$ then almost all long enough words over Σ are f -random. Hence choosing n large enough and choosing an f -random w we could assume that $k \leq 4 \log_2 |w|$.

Thus

$$2k.4 \log_2 |x(w)| \leq 2.4 \log_2 |w|.4 \log_2 |x(w)| \\ \leq 32. \log_2^2 |x(w)|. \text{ So } \mu(x(w)) \leq 32. \log_2^2 |x(w)|.$$

Consequently if we set $y = x(w)$, the theorem follows.

Next we prove that in an EDTOL language L which is a subset of \mathcal{B}_1 if w is a long enough f -random word in L , for every slow function f , then the depth of w is rather large.

THEOREM 4: *Let L be an EDTOL language such that $L \subseteq \mathcal{B}_1$. Then for every slow function f there exist a positive integer constant s and a positive real constant r such that if w is an f -random word from L longer than s then $\text{Depth}(w) > |w|^r$.*

Proof: Let L be an EDTOL language such that $L \subseteq \mathcal{B}_1$ and let f be a slow function. We can assume that $G = \langle V, \mathcal{P}, \omega, \Sigma \rangle$ is an EPDTOL system such that $L(G) = L$. (See Theorem 4 in [4].) Clearly we can also assume that $L(G)$ contains infinitely many f -random words, as otherwise the theorem is trivially true.

Let w be an f -random word long enough so that each derivation of w in G contains a long enough neat subderivation (see Theorem 1). Thus let

$$D = ((x_0, \dots, x_k), \quad (T_0, \dots, T_{k-1}))$$

be a derivation of w in G and let

$$D_1 = ((x_{i_0}, \dots, x_{i_q}), \quad (\bar{T}_{i_0}, \dots, \bar{T}_{i_{q-1}}))$$

be a sufficiently long neat subderivation of D .

In fact we assume that

1) If A is a small letter in D_1 , then:

$$\text{Score}(\text{Contr}_D(\bar{T}_i(A))) = \text{Score}(\text{Contr}_D(\bar{T}_j(A))),$$

for every i, j in $\{i_0, \dots, i_{q-1}\}$, and

2) There exists a big recursive letter R in D_1 , such that either, for every j in

$$\{i_0, \dots, i_{q-1}\}, \quad \bar{T}_j(R) = \dot{\alpha}_R^{(j)} R \beta_R^{(j)} \quad \text{with} \quad \alpha_R^{(j)} \neq \Lambda,$$

or, for every j in

$$\{i_0, \dots, i_{q-1}\}, \quad \bar{T}_j(R) = \alpha_R^{(j)} R \beta_R^{(j)} \quad \text{with} \quad \beta_R^{(j)} \neq \Lambda.$$

(We will assume, without the loss of generality, that for every j in

$$\{i_0, \dots, i_{q-1}\}, \quad \bar{T}_j(R) = \alpha_R^{(j)} R \beta_R^{(j)} \quad \text{with} \quad \alpha_R^{(j)} \neq \Lambda.)$$

3) For every big recursive letter B in D_1 , and for every i, j in

$$\{i_0, \dots, i_{q-1}\}, \quad \text{if } B \xrightarrow{\bar{T}_i} u_1 B u_2 \quad \text{and} \quad B \xrightarrow{\bar{T}_j} v_1 B v_2,$$

then u_1 and v_1 contain the same set of big letters and u_2 and v_2 contain the same set of big letters.

We can assume the above conditions because if they would not hold in D_1 , we could apply Lemma 1 and obtain from D_1 a sufficiently long subderivation of D satisfying these conditions. (Note that $\text{Score}(\text{Contr}_D(\bar{T}_i(\Lambda))) \leq |\text{Contr}_D(\bar{T}_i(A))| \leq f(|w|)$ if A is a small letter, and to have the conditions 2 and 3 satisfied one has to divide the words in D_1 into a constant, dependent on $\#V$ only, number of classes.)

LEMMA 4: For every j in $\{i_0, \dots, i_{q-1}\}$,

$$\| \text{Score}(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)}))) \| > 0.$$

Proof of Lemma 4: Let us assume, to the contrary, that

$$\text{Score}(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)}))) = 0.$$

Note that $\overline{T}_j(\alpha_R^{(j)})$ contains small recursive letters only and so (by changing D in such a way that after applying \overline{T}_j we iterate \overline{T}_j an arbitrary number of times before applying the next table from D_1 and continuing in the manner tables were used in D) for every $n \geq 0$ there is a word in $L(G)$ which contains $(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})))^n$ as a subword. But (with our assumption that $\text{Score}(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)}))) = 0$) if γ is a subword of $(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})))^n$ then $\text{Score}(\gamma) \leq 2 | \text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})) |$. This however implies that $L(G)$ would contain words with arbitrarily long subwords the score of which is bounded by $2 | \text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})) |$ which contradicts Lemma 3.

Thus Lemma 4 holds.

LEMMA 5: For every i, j in $\{i_0, \dots, i_{q-1}\}$,

$$\text{sign}(\text{Score}(\text{Contr}_D(\overline{T}_i(\alpha_R^{(i)})))) = \text{sign}(\text{Score}(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})))).$$

Proof of Lemma 5: Let us assume, to the contrary, that

$$\text{sign}(\text{Score}(\text{Contr}_D(\overline{T}_i(\alpha_R^{(i)})))) \neq \text{sign}(\text{Score}(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})))),$$

for example that

$$\text{sign}(\text{Score}(\text{Contr}_D(\overline{T}_i(\alpha_R^{(i)})))) > 0$$

and

$$\text{sign}(\text{Score}(\text{Contr}_D(\overline{T}_j(\alpha_R^{(j)})))) < 0.$$

We will describe now (an infinite) sequence τ_0, τ_1, \dots of compositions of tables. Each of these compositions τ_j may be used to change D into $D(j)$ in such a way that after applying \overline{T}_i we apply τ before continuing applying tables in the manner they are used in D . (To better see what follows, recall that $\overline{T}(\alpha_R^{(i)})$, $\overline{T}_i(\alpha_R^{(j)})$, $\overline{T}_j(\alpha_R^{(i)})$ and $\overline{T}_j(\alpha_R^{(j)})$ consist of small recursive letters only).

0) $\tau_0 = \overline{T}_i.$

$$\tau_0(\alpha_R^{(i)} R) = \overline{T}_i(\alpha_R^{(i)}) \alpha_R^{(i)} R \delta_0, \text{ for some } \delta_0 \in V^*.$$

1) $\tau_1 = \overline{T}_j \overline{T}_i.$

$$\tau_1(\alpha_R^{(i)} R) = \overline{T}_i(\alpha_R^{(i)}) \overline{T}_j(\alpha_R^{(i)}) \alpha_R^{(i)} R \delta_1, \text{ for some } \delta_1 \text{ in } V^*.$$

$$2) \quad \tau_2 = \overline{T_j} \overline{T_j} \overline{T_i}.$$

$$\tau_2(\alpha_R^{(i)} R) = \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(j)}) \alpha_R^{(j)} R \delta_2,$$

for some δ_2 in V^* .

$$p_1) \quad \tau_{p_1} = (\overline{T_j})^{p_1} \overline{T_i}.$$

$$\tau_{p_1}(\alpha_R^{(i)} R) = \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(j)}) \dots \overline{T_j}(\alpha_R^{(j)}) \alpha_R^{(j)} R \delta_{p_1},$$

for some δ_{p_1} in V^* , where p_1 is the smallest positive integer such that

$$\text{sign}(\text{Score}(\text{Contr}_{D(p_1)}(\overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots \overline{T_j}(\alpha_R^{(j)}))) < 0.$$

$$p_1 + 1) \quad \tau_{p_1+1} = \overline{T_i}(\overline{T_j})^{p_1} \overline{T_i}.$$

$$\tau_{p_1+1}(\alpha_R^{(i)} R) = \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots \overline{T_j}(\alpha_R^{(j)}) \overline{T_i}(\alpha_R^{(j)}) \alpha_R^{(j)} R \delta_{p_1+1},$$

for some δ_{p_1+1} in V^* .

$$p_1 + 2) \quad \tau_{p_1+2} = \overline{T_i} \overline{T_i}(\overline{T_j})^{p_1} \overline{T_i},$$

$$\tau_{p_1+2}(\alpha_R^{(i)} R) = \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots$$

$$\overline{T_j}(\alpha_R^{(j)}) \overline{T_i}(\alpha_R^{(j)}) \overline{T_i}(\alpha_R^{(i)}) \alpha_R^{(i)} R \delta_{p_1+2},$$

for some δ_{p_1+2} in V^* .

$$\vdots$$

$$p_1 + p_2) \quad \tau_{p_1+p_2} = (\overline{T_i})^{p_2} (\overline{T_j})^{p_1} \overline{T_i}.$$

$$\tau_{p_1+p_2}(\alpha_R^{(i)} R) = \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots \overline{T_j}(\alpha_R^{(j)}) \overline{T_i}(\alpha_R^{(j)}) \dots$$

$$\overline{T_i}(\alpha_R^{(i)}) \alpha_R^{(i)} R \delta_{p_1+p_2},$$

for some $\delta_{p_1+p_2}$ in V^* , where p_2 is the smallest positive integer such that

$$\text{sign}(\text{Score}(\text{Contr}_{D(p_1+p_2)}(\overline{T_i}(\alpha_R^{(i)}) \dots \overline{T_j}(\alpha_R^{(j)}) \dots \overline{T_i}(\alpha_R^{(i)}))) > 0$$

$$p_1 + p_2 + p_3) \quad \tau_{p_1+p_2+p_3} = (\overline{T_j})^{p_3} (\overline{T_i})^{p_2} (\overline{T_j})^{p_1} \overline{T_i}.$$

$$\tau_{p_1+p_2+p_3}(\alpha_R^{(i)} R) = \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots \overline{T_j}(\alpha_R^{(j)}) \overline{T_i}(\alpha_R^{(j)}) \dots$$

$$\overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots \alpha_R^{(j)} R \delta_{p_1+p_2+p_3},$$

for some $\delta_{p_1+p_2+p_3}$ in V^* , where p_3 is the smallest positive integer such that

$$\text{sign}(\text{Score}(\text{Contr}_{D(p_1+p_2+p_3)}(\overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots$$

$$\overline{T_j}(\alpha_R^{(j)}) \overline{T_i}(\alpha_R^{(j)}) \dots \overline{T_i}(\alpha_R^{(i)}) \overline{T_j}(\alpha_R^{(i)}) \dots \overline{T_j}(\alpha_R^{(j)}))) < 0,$$

and so on.

Thus what we are doing is alternating sequences of applications of \bar{T}_i and \bar{T}_j in such a way that the signs of scores of contributions of corresponding substrings (consisting of small recursive letters) of strings derived from $\alpha_R^{(i)}$ alternate.

But in this way $L(G)$ contains strings with arbitrarily long substrings the scores of which are limited by

$$4. \max \{ |\bar{T}_i(\alpha_R^{(i)})|, |\bar{T}_j(\alpha_R^{(i)})|, |\bar{T}_i(\alpha_R^{(j)})|, |\bar{T}_j(\alpha_R^{(j)})| \}.$$

This however contradicts Lemma 3.

Thus Lemma 5 holds.

To avoid notational troubles with double indices, for the rest of this proof we change a denotation for the subderivation D_1 .

Thus:

$$D_1 = ((y_0, \dots, y_q), (P_0, \dots, P_{q-1}))$$

where in fact

$$y_0 = x_{i_0}, \dots, \quad y_q = x_{i_q}, \quad P_0 = \bar{T}_{i_0}, \dots, \quad P_{q-1} = \bar{T}_{i_{q-1}}.$$

Thus we have now, for each i in

$$\{0, \dots, q-1\}, \quad P_i(R) = \alpha_R^{(i)} R \beta_R^{(i)} \quad \text{with} \quad \alpha_R^{(i)} \neq \Lambda.$$

Note that the word x derived in the derivation D has the word:

$$P_1(\alpha_R^{(0)}) P_2(\alpha_R^{(2)}) \dots P_{q-1}(\alpha_R^{(q-2)})$$

as a subword.

Let:

$$\theta_1 = \text{Score}(\text{Contr}_D(P_1(\alpha_R^{(0)}) P_2(\alpha_R^{(1)}) \dots P_{q-1}(\alpha_R^{(q-2)})).$$

Let Δ be a sequence of tables which form the "tail" of D in the sense that $\Delta = T_{i_q} T_{i_{q-1}} \dots T_{k-1}$.

Let:

$$\theta_2 = \sum_{j=1}^{q-2} \text{Score}(\Delta(P_j(\alpha_R^{(j)}))).$$

Let us estimate $\theta_1 - \theta_2$. (Note that θ_1 represents the score of a subword of a word in $L(G)$, whereas θ_2 was chosen just for "computational" reasons.)

Let for a word Z over the alphabet of letters which occur in words of D_1 , $\text{Big}(Z)$ denote the word obtained from Z by erasing all small letters from Z and $\text{Small}(Z)$ denote the word obtained from Z by erasing all big letters from Z .

Thus

$$\begin{aligned}\theta_1 &= \sum_{j=1}^{q-1} \text{Score}(\text{Contr}_D(P_j(\alpha_R^{(j-1)}))) \\ &= \sum_{j=1}^{q-1} \text{Score}(\text{Contr}_D(P_j(\text{Big}(\alpha_R^{(j-1)})))) \\ &\quad + \sum_{j=1}^{q-1} \text{Score}(\text{Contr}_D(P_j(\text{Small}(\alpha_R^{(j-1)}))))\end{aligned}$$

and

$$\begin{aligned}\theta_2 &= \sum_{j=1}^{q-2} \text{Score}(\Delta(P_j(\text{Big}(\alpha_R^{(j)})))) \\ &\quad + \sum_{j=1}^{q-2} \text{Score}(\Delta(P_j(\text{Small}(\alpha_R^{(j)})))) \\ &= \sum_{j=1}^{q-2} \text{Score}(\Delta(P_j(\text{Big}(\alpha_R^{(j)})))) \\ &\quad + \sum_{j=2}^{q-1} \text{Score}(\Delta(P_j(\text{Small}(\alpha_R^{(j-1)}))))\end{aligned}$$

(because of the Condition 1 satisfied by D_1).

Thus:

$$\begin{aligned}\theta_1 - \theta_2 &= \text{Score}(\text{Contr}_D(P_{q-1}(\text{Big}(\alpha_R^{(q-2)})))) \\ &\quad + \text{Score}(\text{Contr}_D(P_1(\text{Small}(\alpha_R^{(0)})))).\end{aligned}$$

Now let

$$\alpha_R^{(0)} = Z_1 B_1 Z_2 B_2 \dots Z_l B_l Z_{l+1}, \quad \text{where } Z_1, \dots, Z_{l+1}$$

do not contain big letters and B_1, \dots, B_l are big letters. (Note that $l < \# V$.)

Then:

$$\begin{aligned}\theta_1 - \theta_2 &= \text{Score}(\text{Contr}_D(P_{q-1}(\text{Big}(\alpha_R^{(q-2)})))) \\ &\quad + \sum_{i=1}^{l+1} \text{Score}(\text{Contr}_D(P_1(Z_i))).\end{aligned}$$

Let

$$\alpha_R^{(q-2)} = u_1 C_1 u_2 C_2 \dots u_t C_t u_{t+1}, \quad \text{where } u_1, \dots, u_{t+1}$$

do not contain big letters and C_1, \dots, C_t are big letters. (Note that $t < \# V$.)

Then:

$$\begin{aligned}\theta_1 - \theta_2 &= \sum_{i=1}^t \text{Score}(\text{Contr}_D(P_{q-1}(C_i))) \\ &\quad + \sum_{i=1}^{l+1} \text{Score}(\text{Contr}_D(P_1(Z_i))).\end{aligned}$$

Thus:

$$\theta_1 - \left(\sum_{i=1}^t \text{Score}(\text{Contr}_D(P_{q-1}(C_i))) + \sum_{i=1}^{l+1} \text{Score}(\text{Contr}_D(P_1(Z_i))) \right) = \theta_2.$$

But, for some positive real constant \bar{r} , the length of D_1 is larger than $|w|^r$ and each component in the formula:

$$\sum_{j=1}^{q-2} \text{Score}(\Delta(P_j(\alpha_R^{(j)})))$$

is different from 0 and is of the same sign (Lemmas 4 and 5). Thus:

$$\|\theta_2\| > |w|^{\bar{r}-1}.$$

Consequently, the absolute value of one of the following: θ_1 ,

$$\text{Score}(\text{Contr}_D(P_{q-1}(C_i))), \quad \text{for } 1 \leq i \leq t,$$

$$\text{Score}(\text{Contr}_D(P_1(Z_i))), \quad \text{for } 1 \leq i \leq l+1$$

must be larger than $|w|^{\bar{r}-1}/2$ (# V).

This together with Lemma 2 yields us Theorem 4.

Now we can prove the following result.

THEOREM 5: *If L is an EDTOL language such that $L \subseteq \mathcal{B}_1$ then $L \neq \mathcal{B}_1$.*

Proof: Theorem 3 says that \mathcal{B}_1 contains arbitrarily long f -random words (for a slow $f(|y|) = 32 \log_2^2 |y|$) of a rather small depth ($\text{Depth}(y) < 2 \log |y|$). But Theorem 4 says that in every EDTOL language L which is included in \mathcal{B}_1 if an f -random word y (for every slow f) is long enough then $\text{Depth}(y)$ is rather large ($\text{Depth}(y) > |y|^r$ for a positive real constant r). Thus L cannot contain all the words from \mathcal{B}_1 and Theorem 5 holds.

We leave to the reader the easy standard proofs of the following two results.

THEOREM 6: *If L is an EDTOL language and h is a homomorphism, then $h(L)$ is an EDTOL language.*

THEOREM 7: *Every regular language is an EDTOL language. If L is an EDTOL language and R is a regular language then $L \cap R$ is an EDTOL language.*

Now we can prove three main results of this paper.

THEOREM 8: *For every $i > 1$, \mathcal{B}_i is not an EDTOL language.*

Proof: As a direct corollary from Theorem 5 we have that \mathcal{B}_1 is not an EDTOL language. But then from Theorem 6 it follows that, for every $i \geq 0$, \mathcal{B}_1 is not an EDTOL language.

Let us now recall the notion of a Dyck language (*see, e. g., Salomaa [12], p. 68*). Let, for

$$i \geq 1, \quad V_i = \{a_1, a'_1, a_2, a'_2, \dots, a_n, a'_n\}.$$

The context free language D_i generated by the context free grammar

$$\langle \{S\}, V_i, \{S \rightarrow \Lambda, S \rightarrow SS, S \rightarrow a_1 S a'_1, \dots, S \rightarrow a_i S a'_i\}, S \rangle$$

is termed the *Dyck language* over the alphabet V_i .

THEOREM 9: *For every $i \geq 8$, D_i is not an EDTOL language.*

Proof: Let us first recall the following well-known result (*see, e. g., Salomaa [12], Theorem 7.5*): for an alphabet Σ of m letters there exists an alphabet V_i of $i = 2m + 4$ letters and a homomorphism h from V_i^* onto Σ^* such that, for every context free language L over Σ , there is a regular language R over V_i with the property $L = h(D_i \cap R)$.

But \mathcal{B}_1 is a context free language over an alphabet Σ consisting of $m = 2$ letters and by Theorem 8, \mathcal{B}_1 is not an EDTOL language. Thus from the above and Theorem 7 it follows that D_8 is not an ETOL language. Hence by Theorem 6 it follows that, for no $i \geq 8$, D_i is an EDTOL language which proves the theorem.

As a corollary from either Theorem 8 or Theorem 9 we have the following result.

THEOREM 10: *There exist context free languages that are not EDTOL languages.*

DISCUSSION

We have shown that there exist context free languages which are not EDTOL languages. This result is directly used in [6] to show the existence of indexed languages (*see [1]*) that are not ETOL languages and in [7] to show the existence of top-down deterministic tree transformation languages that are not indexed.

In fact our results have further implications.

1) They settle a controversy on the existence of context free languages that are not parallel context free languages (*see [14] and [15]*). Because the class of parallel context free languages is clearly contained in the class of EDTOL languages we have provided an alternative proof to this of [15] that, almost all, Dyck languages are not parallel context free languages.

2) Following Salomaa [13], our Theorem 10 implies that (we use here Salomaa's notation from [13]):

The pairs (CF, IP), (ED, PPDA), (ED, ETOL) are incomparable, IP is properly contained in RP, ER is not contained in ETOL and ED is not contained in RP.

As the most important open problem in connection with results presented in this paper we consider the problem of giving a characterization of context free languages which are not EDTOL languages.

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